

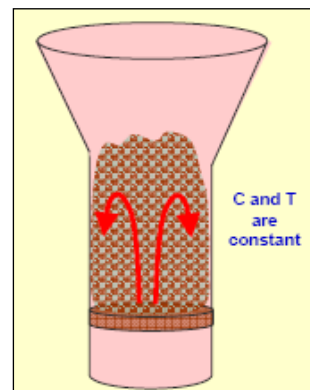
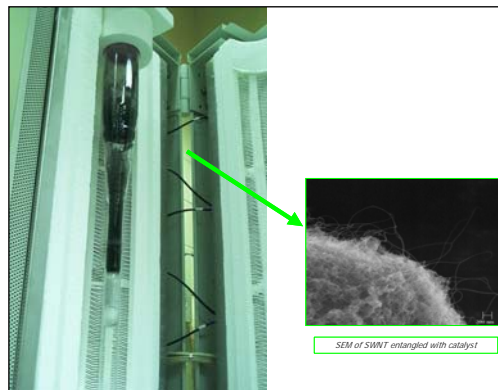


SWNT growth by the CoMoCAT method: Kinetic modeling, characterization of catalysts and nanotube product

Daniel E. Resasco

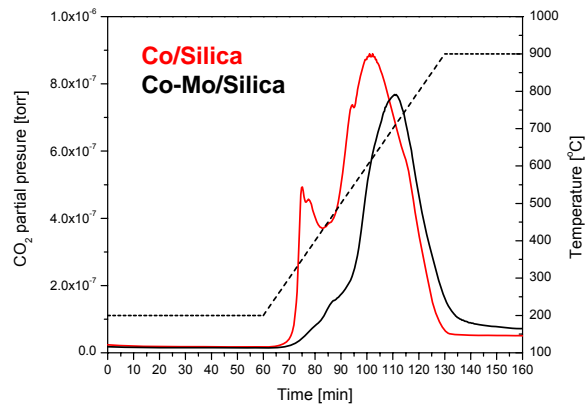
*University of Oklahoma
and SouthWest Nanotechnologies Inc.*

The CoMoCAT process



- CO disproportionation ($2 \text{ CO} \rightarrow \text{C} + \text{CO}_2$)
- Fluidized bed for uniformity
- Moderate temperatures = 700 – 900 C
- Moderate pressures = 1 – 10 atm

Mo retards the reactivity of Co towards CO

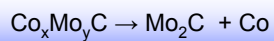
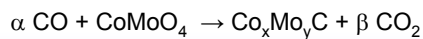


Temperature programmed reaction of oxidized Co and Co-Mo catalysts with CO (Evolution of CO₂)

Co/Silica:

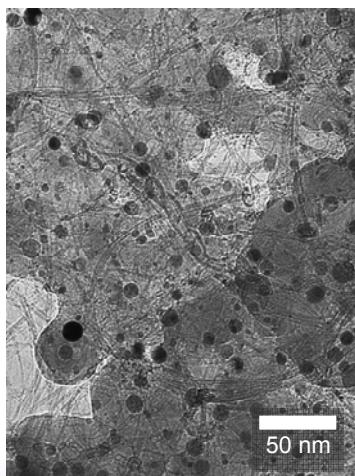


Co-Mo/Silica:



Mo inhibits the sintering of Co

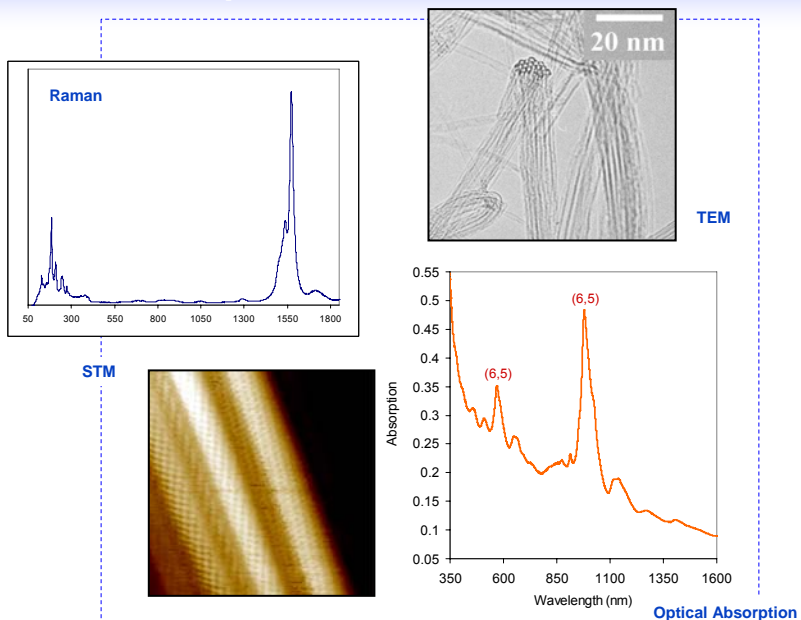
Co/SiO₂



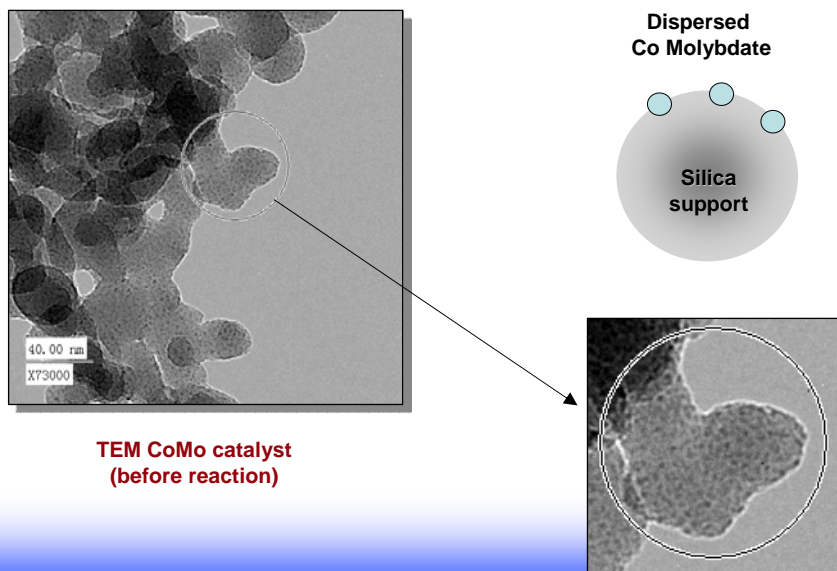
Co-Mo/SiO₂



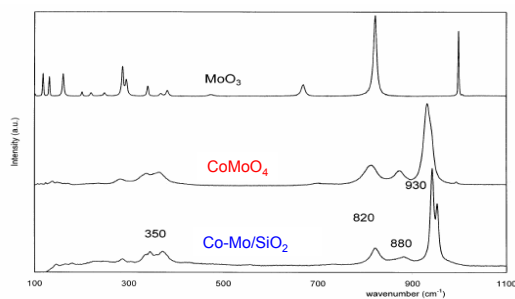
The CoMoCAT product



The CoMo catalyst



Calcined CoMo catalyst - Before Reaction

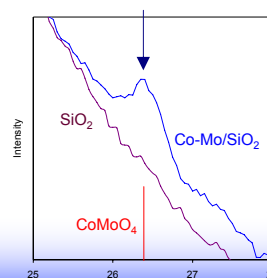


Raman Spectroscopy

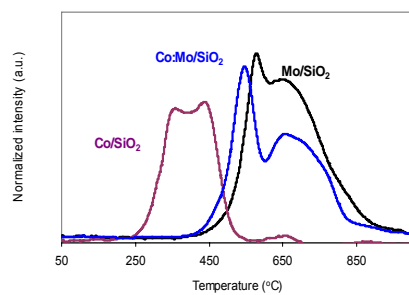
Bands at 930, 880, 820, and 350 cm⁻¹ typical of CoMoO₄.

X-ray Diffraction (XRD)

Small peak at 26° reveals the presence of highly dispersed CoMoO₄



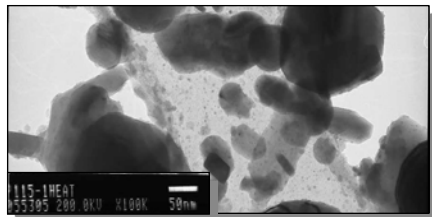
Calcined CoMo catalyst - Before Reaction



Temperature-Programmed Reduction (TPR)

Absence of reducible Co at low temperature indicates that all the Co is in close interaction with Mo.

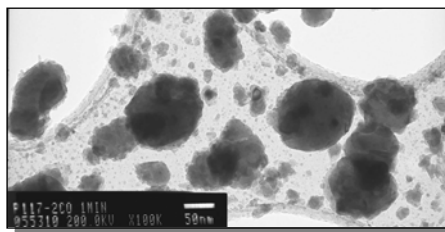
Catalyst Transformation During Reaction



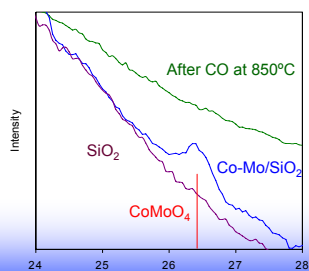
Before CO

TEM:

Attack of large CoMoO₄ crystals by CO at 850°C



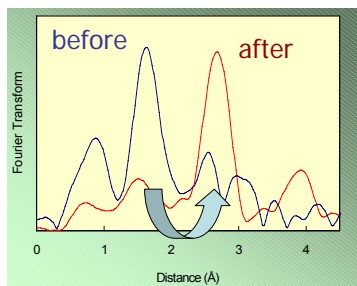
After 1 min in CO



X-ray Diffraction (XRD)

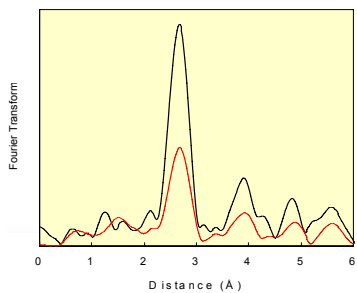
The 26° peak of CoMoO₄ disappears upon exposure to CO at 850°C

Catalyst Transformation During Reaction



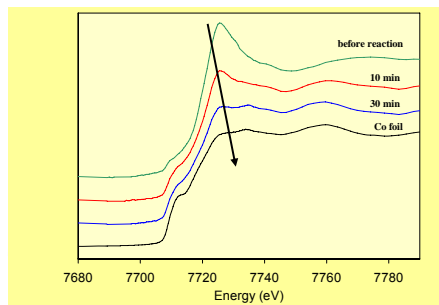
EXAFS:

K-edge of Mo ($E_o = 20,000$ eV).
Mo-O bonds are converted to Mo-Mo with the length of those in Mo₂C

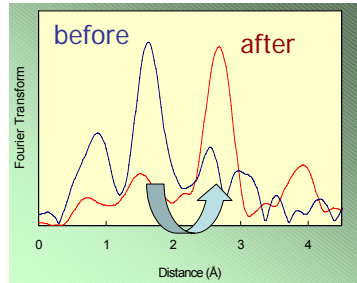


K-edge of Co ($E_o = 7709$ eV)

Co²⁺ is converted to metallic Co(0)



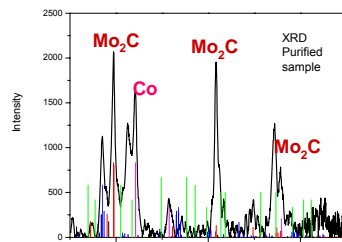
Catalyst Transformation During Reaction



EXAFS:

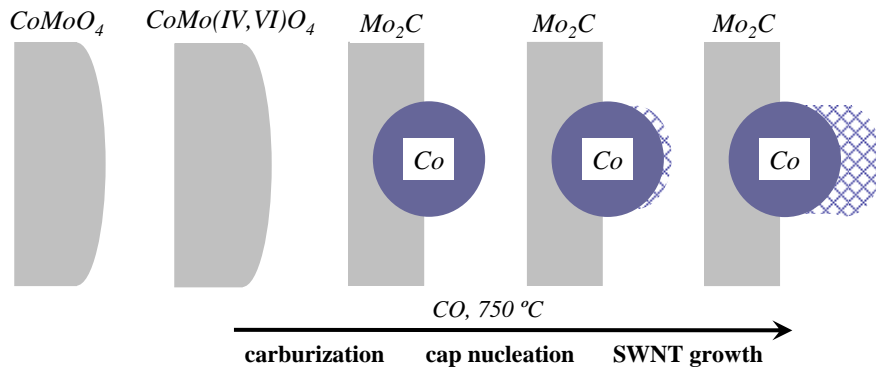
K-edge of Mo ($E_0 = 20,000$ eV).
Mo-O bonds are converted to Mo-Mo with the length of those in Mo_2C

K-edge of Co ($E_0 = 7709$ eV)
 Co^{2+} is converted to metallic Co(0)



XRD of purified samples shows the presence of Mo_2C and Co metal in residual catalyst

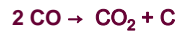
Steps in the kinetic model of SWNT synthesis



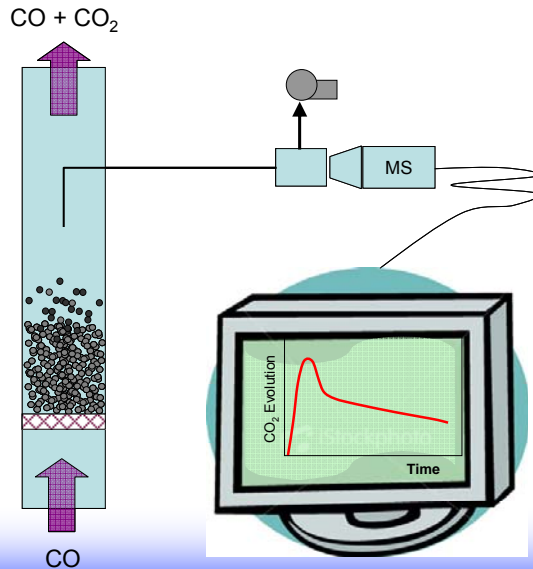
- Step 1: Carburization of the pre-reduced oxidic phase
- Step 2: Generation of active sites
- Step 3: CO dissociation over the surface of the reduced Co cluster
- Step 4: Nucleation and growth of SWNT
- Step 5: Growth Termination
 - Catalyst Deactivation
 - Hindrance Effect

Experimental measurement of SWNT growth rate

In the CO disproportionation reaction, the evolution of CO₂ equals the deposition of carbon:

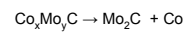
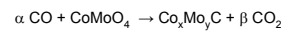


The rate of CO₂ evolution equals the SWNT growth rate



Carburization of the Co-Mo oxides

The rate of autocatalytic carburization is given by:



$$r_{carb} = \frac{d\alpha}{dt} = M_{CT} \cdot k_c (1 - \alpha)(1 + K\alpha)$$

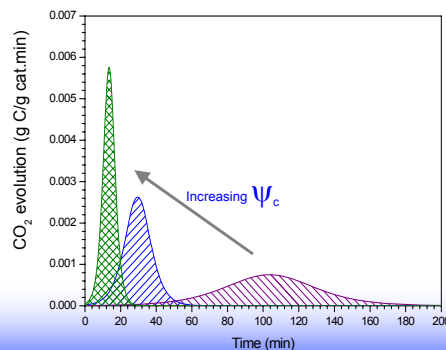
or

$$r_{C_{carb}} = M_{CT} \cdot \frac{(1 + K) \cdot \psi_c \cdot \exp(-\psi_c \cdot t)}{(1 + K \exp(-\psi_c \cdot t))^2}$$

where

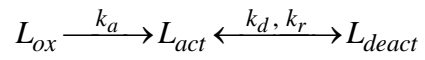
$$\psi_C = k_C (1 + K)$$

This initial CO₂ evolution does not correspond to SWNT growth.



Balance of active sites during reaction

Active site balance:



$$\frac{dL_{act}}{dt} = \psi_a \cdot L_{ox} - \psi_d \cdot L_{act} + \psi_r \cdot L_{deact}$$

definition

$$a = \frac{L_{act}}{L_T}$$

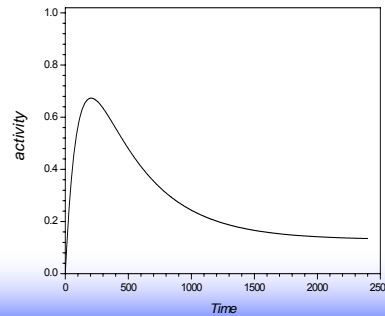
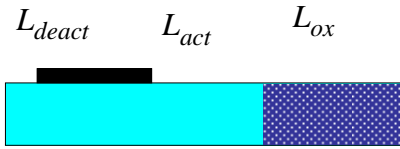
Catalyst activity (a) is then

$$a = a_S + \alpha_1 \cdot \exp(-\psi_a \cdot t) + \alpha_2 \cdot \exp(-\psi_G \cdot t)$$

$$\psi_G = \psi_d + \psi_r \quad ; \quad a_S = \frac{\psi_r}{\psi_d + \psi_r} = \frac{\psi_r}{\psi_G}$$

$$\alpha_1 = (1 - a_0) \cdot \left(\frac{\psi_a - \psi_r}{\psi_G - \psi_a} \right)$$

$$\alpha_2 = (a_0 - a_S - \alpha_1)$$



CO dissociation and C deposition

Boudouard reaction at interface 1:

$$(-r_{CO})_1 = (-r_{CO})^0 \cdot a = k_1 \cdot \left(p_{CO} - \frac{p_{CO_2}}{K_{eq} p_{CO}} \right) \cdot a$$

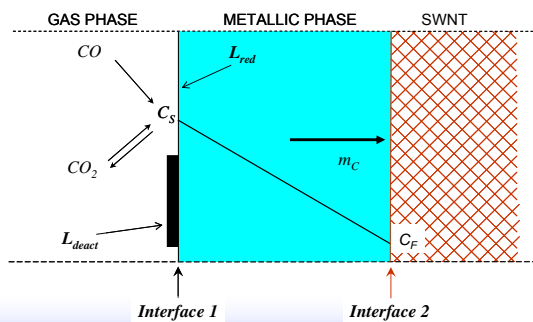
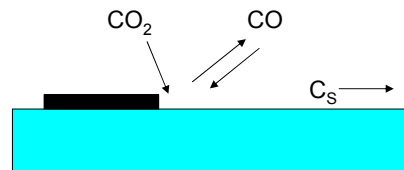
where k_1 and K_{eq} are given by:

$$k_1 = k_{10} \cdot \exp(-E_1/RT)$$

$$K_{eq} = \frac{k_1}{k_2} = \exp(-\Delta G/RT)$$

Diffusion from Interface 1 to Interface 2:

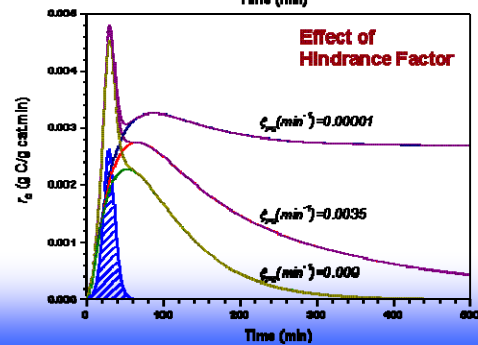
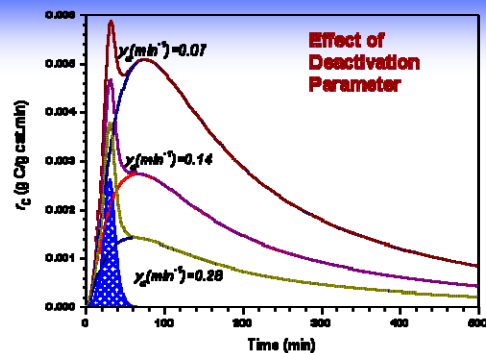
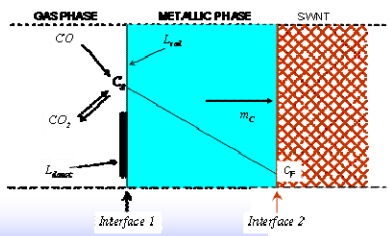
$$r_{C_{SWNT}} = \frac{dm_C}{dt} = k_C (C_S - C_F)$$



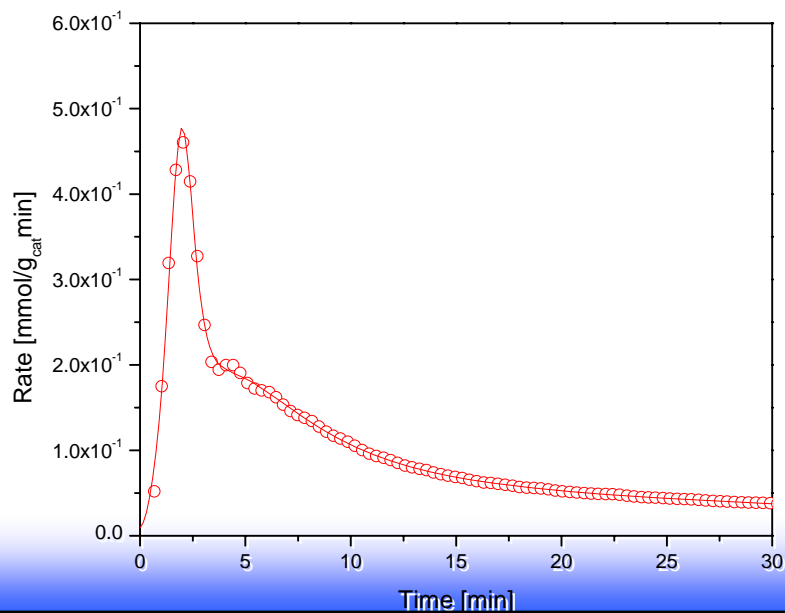
Growth Termination

Two possible causes for growth termination:

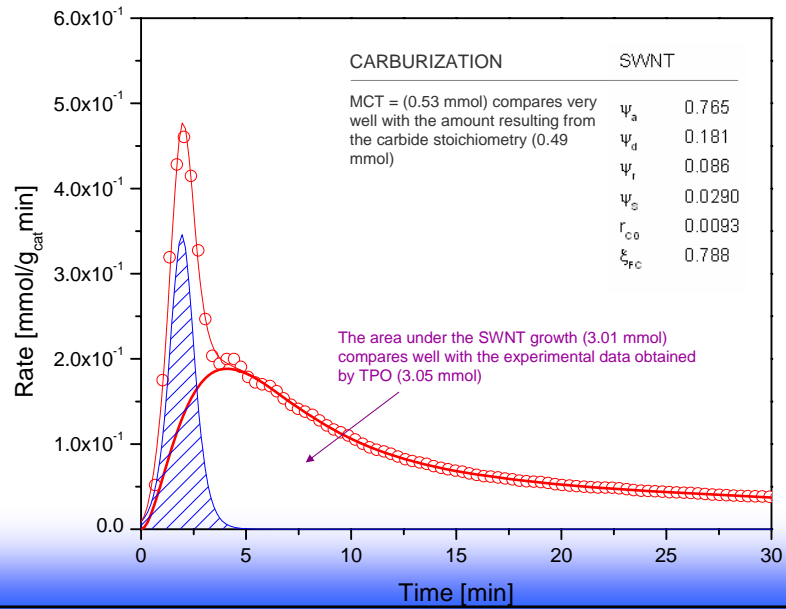
- Catalyst deactivation
- Hindrance of Nanotube Displacement



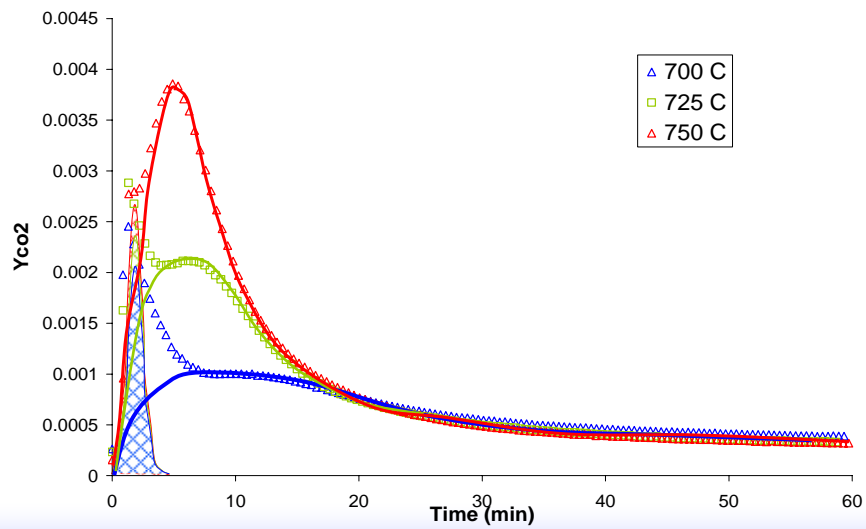
Model Fitting of Experimental Data



Model Fitting of Experimental Data

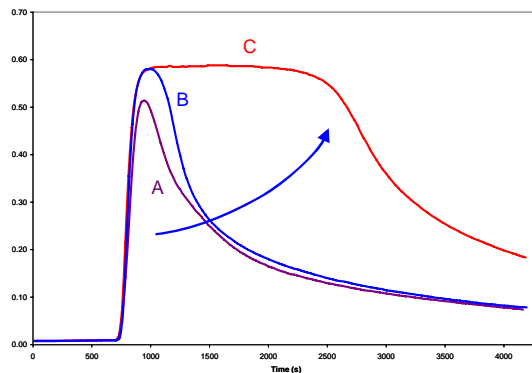


Rate Evolution at Different Temperatures



Rate Evolution as a Method for Catalyst Screening

Control of catalyst and operating conditions allows us to produce different grades of SWNT in a consistent and reproducible manner.



Controlled variations in catalyst and support composition

- Catalyst A
- Catalyst B
- Catalyst C

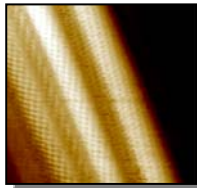
a) Activation rate is similar

b) Rate of growth termination dramatically varied

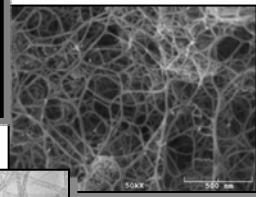
Commercial Grades Produced by SWeNT



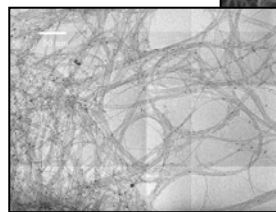
- **Standard CoMoCAT Grade (SG):**
 - Narrow diameter and chirality distribution (rich in (6,5))
 - $d \sim 0.8$ nm



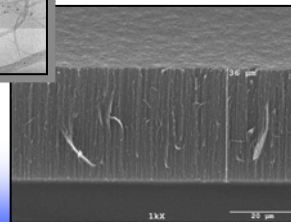
- **Specialty Grade for Composites (SGC):**
 - developed for a strategic partner



- **Commercial Grade (CG):**
 - $d \sim 0.9-1.1$ nm
 - Broader chirality distribution
 - Low-cost large scale production (2008)



- **Vertically aligned forests (FG)**
 - small quantities



Quality Control

- **Definition of Quality:**
 - ISO 9000 - *"Degree to which a set of inherent characteristic fulfills requirements"*
- **Working with Strategic Partners:**
 - *Specify requirements for each specific customer*
 - *Establish test methods to measure quality parameters*
 - *Meet requirements consistently*
- **Continuous Improvement**
 - Determine relationship between process variables and quality parameters
 - Implement changes in process that result in optimum quality parameters

Example of Specification for a Customer

- 3.1) **Chemical Composition:** Chemical composition shall conform to the following percentages by weight, determined in accordance with one of the following methods:
 - by wet chemical methods as described in Appendix A
 - by Thermogravimetric Analysis as described in Appendix B
 - by other analytical methods approved by Customer.
- The composition shall be as follows:
 - Carbon: > XX % - metals combined <XX % Total of Other elements: < XX%
- 3.2) **Thermogravimetric response:** A sample from each lot shall conform to the following requirements, when tested in accordance with the TGA procedure of Appendix B:
 - Parameter T1: >XX % Residual Mass at 625C: < XX %
- 3.3) **Optical absorption:** A sample from the lot shall conform to the following requirements, when tested in accordance with the TGA procedure of Appendix C:
 - S2B: > XX
- 3.4) **Form:** The material shall be in the form of a freeze dried powder, capable of being re-dispersed in aqueous detergent solution using horn sonication. The non-solid content shall be less than 2 wt% as determined by either the method of Appendix A, or by the mass loss in TGA (appendix B) below 210C
- 3.5) **Packaging:** Material shall be packaged in sealed, re-sealable containers, so as to be protected from shipping damage and contamination, and so as to allow for removal of the material from the container to facilitate use.
- 3.5.1) **Labeling:** Each material container shall be permanently labeled. The label shall display at least the following information:
 - "Carbon Nanotube Powder"; Supplier name; - Specification number and revision; Lot number; Date of manufacture; Mass of material within container when shipped

Parameter Definitions – Raman quality parameter λ

The Raman quality parameter λ is defined as:

$$\lambda = 1 - \left(\frac{D - B}{G - B} \right)$$

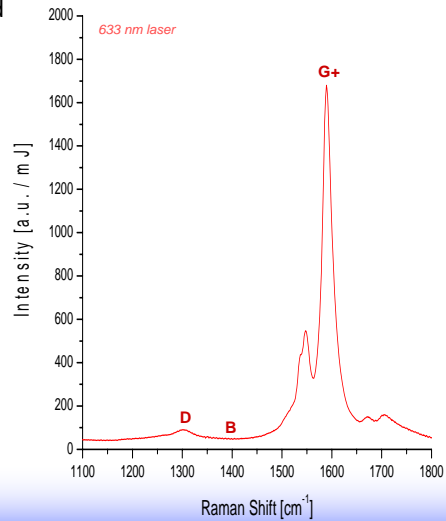
where:

D is the max height of the D band

G is the max height of the G⁺ band

B is the baseline, taken as the lowest point between the two bands.

λ is a measure of overall quality and particularly to the low levels of amorphous carbon relative to CNT.

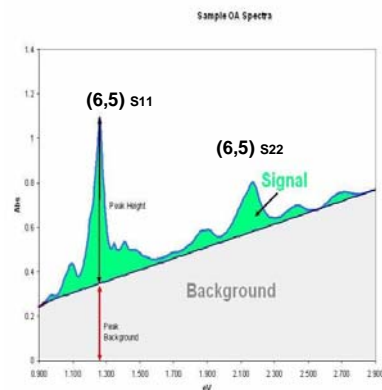


Parameter Definitions – Optical Absorption S_{2B} and P_{2B}

The quality parameters from Optical Absorbance measurements are defined as:

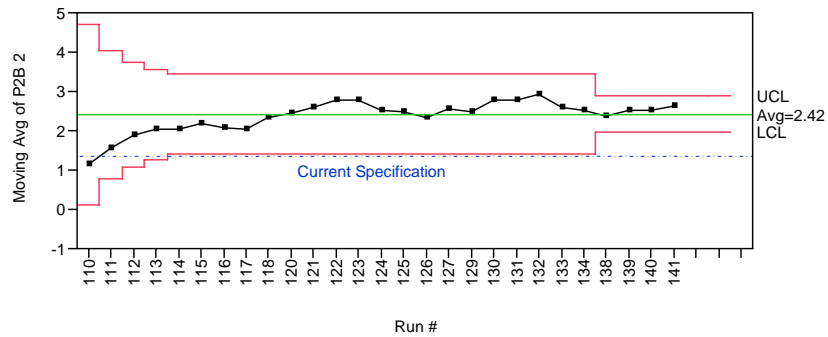
$$S_{2B} = \left(\frac{\text{Total Resonance area}}{\text{Total Background area}} \right)$$

$$P_{2B} = \left(\frac{\text{Height of 6,5 } S_{11} \text{ Peak}}{\text{Height Peak Background}} \right)$$

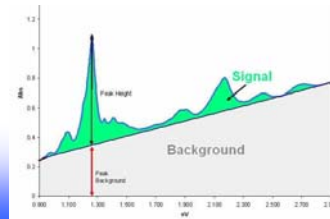


Important: Graph as a function of energy, so background is approximately linear

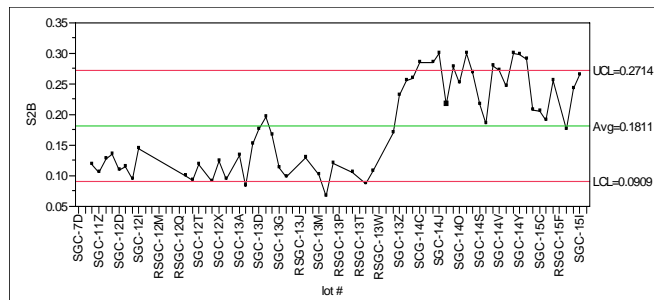
Continuous Improvement Chart for SWeNT® SG



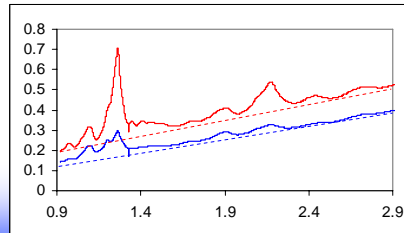
$$P2B = \frac{\text{Height of (6,5) } S_{11} \text{ Peak}}{\text{Height Peak Background}}$$



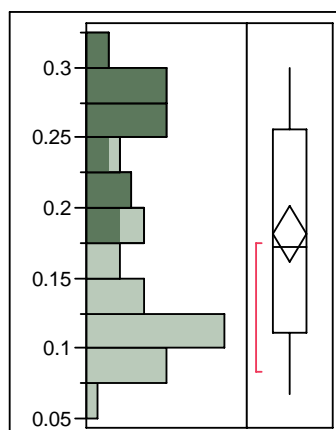
Fixing Operating Conditions for SWeNT® SGC



$$S_{2B} = \frac{\text{Total Resonance area}}{\text{Total Background area}}$$



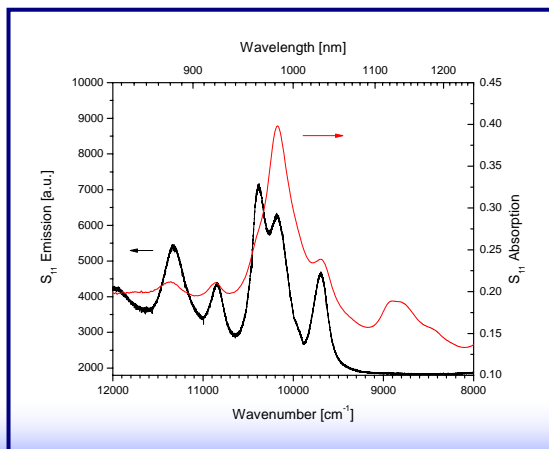
Consistent Data allows for Statistical Analysis



Mean	0.1811232
Std Dev	0.0751126
Std Err Mean	0.0100373
upper 95% Mean	0.2012385
lower 95% Mean	0.1610079
N	56

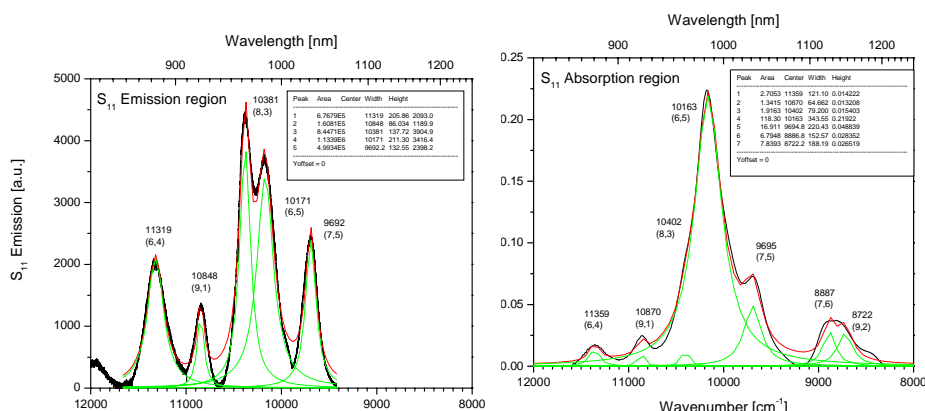
(n,m) species present in SG sample

Fluorescence / Optical Absorption

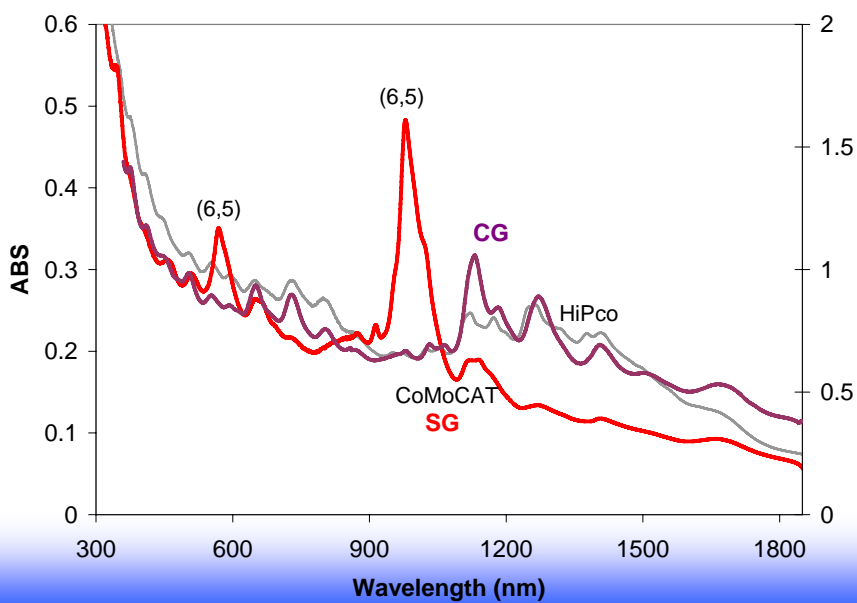


(n,m) species present in SG sample

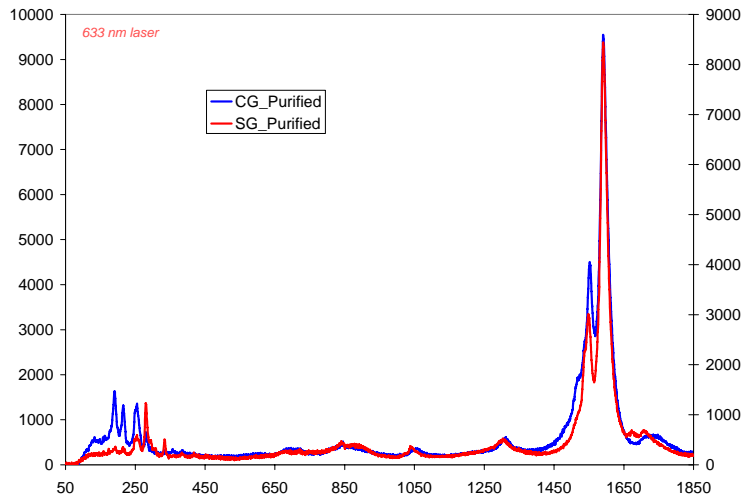
- Identify nanotubes present in sample with Fluorescence.
- Determine population from S11 Absorption (deconvolution).



(n,m) species present in SG and CG samples



Raman spectra of SG and CG samples



Parameter Definitions – Thermogravimetry (TGA)

Parameters definitions:

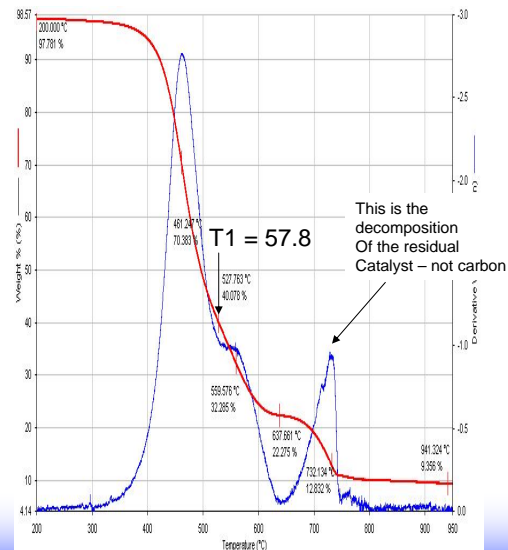
A) % Peak 1:

After fitting TGA derivative profile with Gaussians.

B) T1:

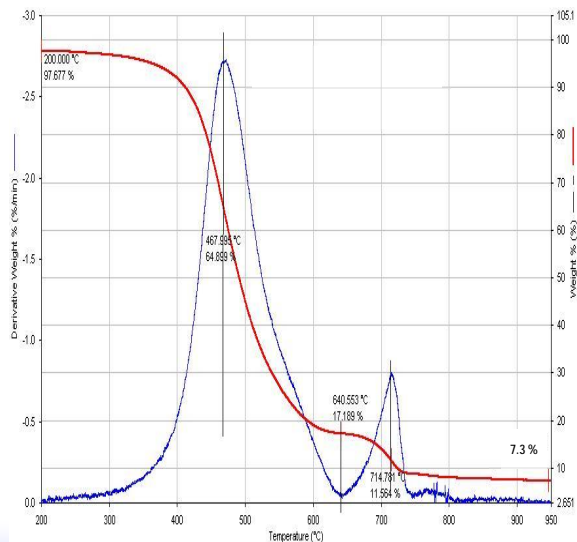
% mass lost at T below first minimum or point of inflection

Note: Position of first peak in derivative depends on type of SWNT and method used to dry sample.



Parameter Definitions – Ash Content by TGA

Ash content is determined as the minimum value of the % weight between 900 and 950°C

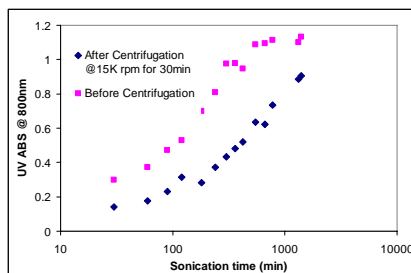


Parameter Definitions – Suspendability

10 mg SWNT / 100 ml

Horn Sonicator: 20 KHz – 20 % amplitude - cooling in ice

**Sonication in
NaDDBS -
SWNT
dispersion**



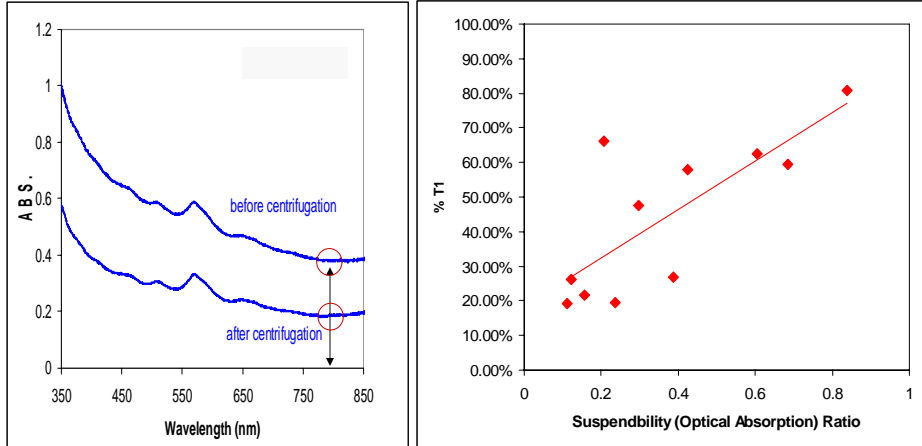
Recommendation:

Always specify:
Sonication method (Horn Vs. Bath)
Sonicator Power and Frequency
Liquid of Volume

- pH of solution
- Cooling method
- SWNT concentration



Parameter Definitions – Suspendability



- This ratio is a good indication of the dispersibility of sample
- There is a good correlation with TGA parameter (T1)

Summary

- ❑ Kinetic model captures the main phenomena taking place during SWNT synthesis by the CoMoCAT method
 - Carburization of the pre-reduced oxidic phase
 - Generation of active sites
 - CO dissociation over the surface of the reduced Co cluster
 - Nucleation and growth of SWNT
 - Growth Termination (Catalyst Deactivation, Hindrance Effect)
- ❑ By varying catalyst and operating conditions around the same technology, four different commercial products have been developed (SG, SG-C, CG, FG)
- ❑ Product quality is being defined, measured, and controlled in terms of requirements (quality parameters) agreed with specific customers.
- ❑ Further refining/development of quality parameters (e.g. metallic/semiconducting ratio, nanotube length, etc.) is needed. Research on characterization, purification, (n,m) separation, etc.

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- Ricardo Prada-Silvy
- Kevin Hobbs

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- ☐ OCAST – Oklahoma Center for Advancement of Science and Technology

